RiskCounts

Bringing perspective to risk management

ENTERPRISE RISK MANAGEMENT SOLUTION FOR FINANCIAL SERVICES FIRMS

We help companies design, create and manage enterprise risk frameworks

The RiskCounts' Enterprise Risk offering helps companies design, create, and install all the components essential for a complete Enterprise Risk framework, including policies and related procedures. It further enables the maintenance and management of this framework at best-practice principles and standards, with the necessary links to, and support of, the Integrated RiskCounts platform which has all the modules and key tools required.

The RiskCounts' ERM application (RC-ERM) provides a framework for design, development and implementation of effective ERM program that is fit-for-purpose and scalable with evolving needs. As a product developed by Risk practitioners, RC-ERM is practical, viable, and a valuable tool for firms, replacing expensive consulting requirements as also installation of Risk resources (people and technology) that they may otherwise find expensive and extremely burdensome for their needs. RC-ERM is suitable for companies at all stages of evolution, from early start-ups to mature large firms.

RiskCounts Enterprise Risk Management Framework for companies

- Design and implement a tailored ERM solution, that is fit for purpose and scalable

- Establish the requisite architecture of Risk Policies, procedures and controls

- Develop Governance and Reporting protocols, including ongoing Reviews and Metrics

- Use simple intuitive Workflows with Smart dashboards

ENTERPRISE RISK CONSULTING   **TECHNOLOGY SOLUTIONS**

RiskCounts sequentially takes a user firm through all the steps required towards building a full ERM Framework

1. **IDENTIFY RISKS**: Identification of all key risks relevant to the company and its business activity

2. **ASSESS RISKS**: Assessment of inherent and residual risks along a Risk Assessment Map drawn across severity (impact) and frequency (likelihood) dimensions

3. **INSTALL POLICIES**: Creation of policies and procedures satisfying all stakeholder requirements (clients, partners, investors, senior management, Board, and Regulators as applies), across all major risk & control areas that are demanded in due-diligence and/or from a Risk management & governance perspective

4. **INSTALL CONTROLS**: Identification of requisite controls as "risk responses", given the risks and the business objectives that drive value and vulnerability

5. **DEVELOP METRICS (KEY RISK INDICATORS):** Development of risk limits, risk & control metrics as Indicators of good health, smoke detectors, risk-control self-assessment, and economic (risk) capital

6. **MONITOR AND MANAGE**: Implementation of regular and ongoing monitoring of metrics trends, self-assessment results, audit and regulatory issues, and remediation plans

7. **GOVERNANCE AND EXECUTIVE TEAM OVERSIGHT**: Development of a strong governance mechanism, where in the Executive team has an ongoing oversight over Risk and Capital, Issues and Incidents, Metrics, Assessments and Corrective actions

About RiskCounts

RiskCounts is a New York-based Risk Solutions firm. We work with Banks, Asset Managers, Financial services entities and Fintech companies (any stage of startup) in a Consultative/Advisory capacity to satisfy needs in the area of Credit, Market and Operational Risk, as well as help streamline Business processes.

Our special focus is on Enterprise and Operational Risk such as risk identification & assessment, control framework, policies, staffing & organization, metrics, economic capital, risk control self-assessment (RCSA), incident-management, and regulatory compliance.

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